PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: 15270J-005912US

Dale B. SCHENK

Appln. No.: 09/724,575

Group Art Unit: 1649

Confirmation No.: 6096

Examiner: Kolker, Daniel B.

Filed: November 28, 2000

For:

PREVENTION AND TREATMENT OF AMYLOIDOGENIC DISEASE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 **CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on February xx, 2006 with the United States Postal Service in an envelope as "Express Mail Post Office To Addressee," mailing label No. EV 749 121 096 US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria,

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of references 588-618, 620-641, 648-656, 662-666, 676-678, 681-682, 687-690 and 694-695 are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also cites commonly owned copending applications directed to related subject matter:

> 09/201,430 filed 11/30/98 issued as U.S. 6,787,523 on 09/07/04; 09/497,553 filed 02/03/00;

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09/724,477 filed 11/28/00 issued as U.S. 6,787,143 on 09/07/04;
09/723,927 filed 11/28/00 issued as U.S. 6,787,138 on 09/07/04;
09/723,762 filed 11/28/00 issued as U.S. 6,787,144 on 09/07/04;
09/724,102 filed 11/28/00 issued as U.S. 6,787,139 on 09/07/04;
09/724,489 filed 11/28/00 issued as U.S. 6,787,140 on 09/07/04;
10/816,022 filed 03/31/04 issued as U.S. 6,866,850 on 03/15/05;
10/816,529 filed 03/31/04 issued as U.S. 6,818,218 on 11/16/04;
10/815,391 filed 03/31/04 issued as U.S. 6,866,849 on 03/15/05;
10/934,818 filed 09/02/04;
10/934,609 filed 09/02/04 issued as U.S. 6,946,135 on 09/20/2005;
10/933,559 filed 09/02/04 issue fee paid 01/24/05;
10/934,819 filed 09/02/04;
10/815,353 filed 03/31/04 issued as U.S. 6,808,712 on 10/26/04;
10/816,380 filed 03/31/04 issue fee paid 01/24/05;
10/815,404 filed 03/31/04 issue fee paid 11/12/04;
10/884,892 filed 07/01/04 issued as U.S. 6,962,707 on 11/08/05
09/322,289 filed 05/28/99;
09/723,713 filed 11/27/00;
09/723,760 filed 11/27/00;
09/724,319 filed 11/27/00;
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09/724,495 filed 11/27/00;
10/788,666 filed 02/27/04;
10/429,216 filed 05/30/03;
10/828,548 filed 04/19/04;
10/923,471 filed 08/20/04;
10/923,474 filed 08/20/04;
10/928,926 filed 08/27/04;
10/923,605 filed 08/20/04;
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10/923,267 filed 08/20/04;
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09/580,015 filed 05/26/00;
09/724,940 filed 11/28/00 issued as U.S. 6,905,686 on 06/14/05;
09/724,961 filed 11/28/00 issued as U.S. 6,743,427 on 06/01/04;
09/580,018 filed 05/26/00 issued as U.S. 6,761,888 on 07/13/04;
09/724,552 filed 11/28/00 issued as U.S. 6,750,324 on 06/15/04;
09/723,544 filed 11/28/00;
09/724,273 filed 11/28/00;
09/724,551 filed 11/28/00 issued as U.S. 6,787,637 on 09/07/04;
09/724,288 filed 11/28/00;
10/777,792 filed 02/11/04;
10/890,024 filed 04/12/04;
10/889,999 filed 04/12/04;
10/890,000 filed 07/12/04;
10/890,070 filed 07/12/04;
10/890,071 filed 07/12/04;
09/580,019 filed 05/26/00;
09/723,765 filed 11/28/00;
09/724,291 filed 11/28/00;
10/822,968 filed 04/12/04;
09/724,929 filed 11/28/00;
09/724,567 filed 11/28/00 issued as U.S. 6,890,535 on 05/10/05;
09/724,575 filed 11/28/00;
09/724,953 filed 11/28/00 issued as U.S. 6,875,434 on 04/05/05;
09/724,570 filed 11/28/00 issued as U.S. 6,936,246 on 08/30/05;
09/585,656 filed 06/01/00;
09/723,766 filed 11/27/00;
09/723,725 filed 11/27/00;
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Application No. 09/724,575
Supplemental Information Disclosure Statement
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09/579,690 filed 05/26/00;

09/979,701 filed 03/13/01 (U.S. Nat'l Stage of PCT/US00/14810 filed 05/26/00);

09/979,952 filed 04/09/02 (U.S. Nat'l Stage of PCT/US00/15239 filed 06/01/00);

issued as U.S. 6,913,745 on 07/05/05; and,

09/980,568 filed 03/12/02 (U.S. Nat'l Stage of PCT/US00/15302 filed 06/01/00).
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Applicant also cites the following copending applications directed to related subject matter but subject to different assignment:

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10/010,942 filed 12/06/01;
10/789,273 filed 02/27/04;
10/232,030 filed 08/30/02;
10/010,942 filed 12/06/01;
10/388,389 filed 03/12/03;
10/703,713 filed 11/07/03;
10/704,070 filed 11/07/03;
10/388,214 filed 03/12/03;
10/858,855 filed 06/01/04;
10/771,174 filed 02/04/04.
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Applicant further cites the following commonly owned abandoned applications directed to related subject matter:

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60/067,740 filed 12/02/97;
60/080,970 filed 04/07/98;
60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
09/204,838 filed 12/03/98;
09/724,921 filed 11/28/00;
09/724,929 filed 11/28/00;
60/137,010 filed 06/01/99;
60/251,892 filed 12/06/00;
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Application No. 09/724,575 Supplemental Information Disclosure Statement

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60/137,047 filed 06/01/99;
60/136,655 filed 05/28/99;
09/723,544 filed 11/28/00;
60/622,525 filed 10/26/04;
60/636,684 filed 12/15/04;
60/636,842 filed 12/15/04;
60/637,253 filed 12/16/04;
60/636,810 filed 12/15/04;
60/637,138 filed 12/16/04;
60/636,776 filed 12/15/04; and
60/636,687 filed 12/15/04.
```

Applicant also cites the following abandoned application directed to related subject matter but subject to different assignment:

```
60/251,892 filed 12/06/00;
60/474,654 filed 05/30/03;
60/530,481 filed 12/17/03;
60/444,150 filed 02/01/03; and,
60/363,751 filed 03/12/02.
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Applicant points out that the following applications are now commonly assigned but were previously subject to different assignment than the present application:

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60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
09/204,838 filed 12/03/98;
09/724,921 filed 11/28/00; and,
09/724,929 filed 11/28/00.
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Applicant understands the Examiner can access papers from the prosecution of the cited cases electronically via PALM. However, if the Examiner has difficulty obtaining

Application No. 09/724,575

Supplemental Information Disclosure Statement

papers from that source he or she is invited to call the undersigned who will be happy to supply

them.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a

search has been conducted or that this statement encompasses all the possible relevant

information, and no inference should be made that the information and references cited are, or

are considered to be material to patentability because they are in this statement. No inference

should be made that the information and references cited are prior art merely because they are in

this statement.

This IDS is being filed before the mailing date of the final Office Action or

Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 19-4880.

Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit

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Respectfully submitted,

Registration No. 42,397

SUGHRUE MION, PLLC

Telephone: (650) 625-8100

Facsimile: (650) 625-8110

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23493 CUSTOMER NUMBER

Date: February 21, 2006

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PTO/SB/08A (10-01)__

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet of

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	Complete if Known	
Application Number	09/724,575	
Filing Date	November 28, 2000	
First Named Inventor	Schenk	
Art Unit	1649	
Examiner Name	Kolker, D. E.	
Attorney Docket Number	15270J-005912US	

	U.S. PATENT DOCUMENTS				
Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	686	6,972,127	12-06-2005	Schenk	
	679	2005/0255122 A1	11-17-2005	Schenk	
	680	2005/0249727 A1	11-10-2005	Schenk	<u> </u>
	674	6,962,707	11-08-2005	Schenk	
	656	6,946,135	09-20-2005	Schenk	
	693	2005/0191314 A1	09-01-2005	Schenk	
	685	2005/0163788 A1	07-28-2005	Schenk	
	657	6,913,745	07-05-2005	Schenk	
	658	6,905,686	06-14-2005	Schenk	
	659	6,890,535	05-10-2005	Schenk	
	683	2005/0059802 A1	03-17-2005	Schenk et al.	
	684	2005/0059591 A1	03-17-2005	Schenk et al.	
	661	2005/0009150 A1	01-13-2005	Basi et al.	· · · · · · · · · · · · · · · · · · ·
	673	6,808,712	10-26-2004	Schenk	
	667	6,787,523	09-07-2004	Schenk	
	670	6,787,144	09-07-2004	Schenk	
	668	6,787,143	09-07-2004	Schenk	
	672	6,787,140	09-07-2004	Schenk	· · · · · · · · · · · · · · · · · · ·
	669	6,787,138	09-07-2004	Schenk	
	671	6,787,129	09-07-2004	Schenk	
	660	2004/0171815 A1	09-02-2004	Schenk et al.	
	642	5,702,906	12-30-1997	Rosenthal	
	643	5,693,762	12-02-1997	Queen et al.	
	644	5,618,920	04-08-1997	Robinson et al.	
	619	5,530,101	06-25-1996	Queen et al.	
	645	5,258,498	11-02-1993	Huston et al.	
	646	4,816,567	03-28-2989	Cabilly et al.	-
	647	4,816,397	03-28-1989	Boss et al.	
	691	11/245,524	filed 10-07-2005	Schenk	
	692	11/245,916	filed 10-07-2005	Schenk	

Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

¹ **Applicant's** unique citation designation number **(optional)**. ² Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 7

Complete if Known				
Application Number	09/724,575			
Filing Date	November 28, 2000			
First Named Inventor	Schenk	_		
Art Unit	1649			
Examiner Name	Kolker, D. E.			
Attorney Docket Number	15270J-005912US			

				TENT DOCUM	ENIO		
	Fore	eign Patent Docume	ent	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Cite No.1	Country Code ³	Number ⁴	Kind Code ⁶ (if known)	Date MM-DD- YYYY	Applicant of Cited Document	or Relevant Figures Appear	T ⁶
633	wo	96/08665	A2	03-21-1996			
630	WO	95/17085	Al	06-29-1995			
648	wo	91/09967	Al	07-11-1991			
632	JP	07-132033	A	05-23-1995			abst. only
631	JP	62-267297	Α	11-19-1987		•	abst.
		<u> </u>					
6 6	33 30 48 32	0.1 Country Code 3 33 WO 30 WO 48 WO 32 JP	D.1 Number ⁴ 33 WO 96/08665 30 WO 95/17085 48 WO 91/09967 32 JP 07-132033	D.1 Number ⁴ kind Code ⁶ (if known) 33 WO 96/08665 A2 30 WO 95/17085 A1 48 WO 91/09967 A1 32 JP 07-132033 A	Number N	Number N	Number N

Examiner Signature	Date Considered	

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¹ **Applicant's** unique citation designation number **(optional)**. ² Kind Codes of U.S. Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 09/724,575 **Application Number** November 28, 2000 **Filing Date First Named Inventor** Schenk 1649 Art Unit Kolker, D. E. **Examiner Name** 15270J-005912US Attorney Docket Number

(use as many sheets as necessary) of | 7 Sheet

		OTHER PRIOR ART NON PATEN	IT LITERATUR	E DOCUMENTS	,
Examiner Initials_*	Cite No.1	Include name of the author (in CAPITAL LET item (book, magazine, journal, serial, syn number(s), publisher, ci	nposium, catalog,	etc.), date, page(s), volume-issue	т
	663	ALBERTS et al., eds. Molecular Biology of The C	ell, Third Edition, c	hapter 23, pages 1208-1209 (1994).	
	649	AMIT et al., "Three-Dimensional Structure of an A 233:747-753 (1986).	Antigen-Antibody C	omplex at 2.8 Å Resolution," Science,	
	588	ANDERSON, J. P., "Exact cleavage site of Alzhei Letters, 128(1):126-128 (1991).	mer amyloid precur	sor in neuronal PC-12 cells," Neuroscience	
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	687	COX et al., "Adjuvantsa classification and revie	COX et al., "Adjuvantsa classification and review of their modes of action," <u>Vaccine</u> , 15(3):248-256 (1997). DE LUSTIG et al., "Peripheral Markers and Diagnostic Criteria in Alzheimer's Disease: Critical Evaluations," Rev. In Neurosciences, 5:213-225 (1994).		
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	595	DODART et al., "Immunization reverses memory disease model," Nat. Neurosci., 5(5):452-457 (200	deficits without red	lucing brain Aβ burden in Alzheimer's	
Examiner Signature		4	Date Considered		•

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 09/724,575

Filing Date November 28, 2000

First Named Inventor Schenk

Art Unit 1649

Examiner Name Kolker, D. E.

(use as many sheets as necessary)

Sheet 4 of 7 Attorney Docket Number 15270J-005912US

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	600	FINDEIS, M. A., "Approaches to discovery and characterization of inhibitors of amyloid β-peptide polymerization," <u>Biochem. Biophys. Acta</u> , 1502(1):76-84 (2000).
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	601	FRENKEL et al., "Reduction of β-amyloid plaques in brain of transgenic mouse model of Alzheimer's disease by EFRH-phage immunization," <u>Vaccine</u> , 21(11-12):1060-1065 (2003).
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	635	Genbank Accession number CAA46659, "IgE antibody light chain(VJ)," 06/15/93.
	636	Genbank Accession number X65775.1, "M.musculus DNA for IgE antibody light chain (VJ)," 06/15/93.
	637	Genbank Accession number AAD26773, "Immunoglobulin heavy chain VH3609-JH3 region [Mus musculus]," 04/22/99.
	604	GONG et al., "Alzheimer's disease-affected brain: presence of oligomeric Aβ ligands (ADDLs) suggests a molecular basis for reversible memory loss," PNAS, 100(18):10417-10422 (2003).
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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bstitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

09/724,575 **Application Number** November 28, 2000 Filing Date **First Named Inventor** Schenk 1649 Art Unit **Examiner Name** Kolker, D. E.

(use as many sheets as necessary)

15270J-005912US of 7 Attorney Docket Number Sheet

606	HAASS, C., "New hope for Alzheimer disease vaccine," Nat Med., 8(11):1195-1196 (2002).	
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662	IDA et al., "Analysis of Heterogeneous βA4 Peptides in Juman Cerebrospinal Fluid and Blood by a Newly Developed Sensitive Western Blot Assay," J. Biol. Chem., 271(37):22908-22914 (1996).	
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First Named Inventor Schenk

Art Unit 1649

Examiner Name Kolker, D. E.

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